

ANNEX OF THE CERTIFICATION (I-NL21T_TAN.QTSP.EN)

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Project ID	I-NL21T	NETLOCK Ltd. Qualified Trust Services Certification 2021.
MATRIX Director of certification	Ádám Molnár	
Date	Budaörs, 18. june 2021	

1. INTRODUCTION

The certification body of MATRIX Ltd. (henceforth: MATRIX) is accredited by National Accreditation Authority based on NAH-6-0054/2019/K accreditation document according to eIDAS (REGULATION (EU) No 910/2014 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 23 July 2014 on electronic identification and trust services for electronic transactions in the internal market and repealing Directive 1999/93/EC) as an independent certification body.

The Netlock Ltd. (henceforth: NETLOCK), as trust service provider for qualified preservation service, requested MATRIX in 2021, as an accredited certification body for the certification of the requested service.

Detailed Evaluation Reports have been made about the review. The most important information on the conditions of the review and use is contained in this annex.

2. CONFORMITY ASSESSMENT REQUIREMENTS

The conformity assessment requirements for the Evaluation Target:

- Regulation (EU) No 910/2014 of the European Parliament and of the Council of 23 July 2014 on electronic identification and trust services for electronic transactions in the internal market and repealing Directive 1999/93/EC

for the following trust service:

- the preservation of electronic signatures, seals or certificates related to those services (qualified preservation service);
- qualified electronic time stamp service ((BTSP);
- qualified electronic signature service (qcp-n-qscd, qcp-l-qscd, qcp-l, qcp-n);
- qualified seal service (qcp-n-qscd, qcp-l-qscd, qcp-l, qcp-n),
- qualified website authentication service (qcp-w);
- remote qualified electronic signature and qualified seal service (NETLOCK Sign, NETLOCK cloud service).

Relevant normatives for the Evaluation Target:

- 910/2014 eIDAS rendelet
- 2015. CCXXII. törvény (Eüt.)
- 24/2016. (VI.30.) BM rendelet
- 470/2017. (XII. 28.) Korm. rendelet
- 541/2020. (XII.2) Korm. rendelet
- ETSI EN 319 401 V2.2.1
- ETSI EN 319 411-1 v1.2.2
- ETSI EN 319 411-2 v2.2.2
- ETSI EN 319 412-1 v1.4.2
- ETSI EN 319 412-2 v2.2.1
- ETSI EN 319 412-3 v1.2.1
- ETSI EN 319 412-4 v1.1.1
- ETSI EN 319 412-5 v2.3.1
- ETSI EN 319 421 v1.1.1
- ETSI EN 319 422 v1.1.1
- ETSI TS 119 431-1 v1.1.1
- ETSI TS 119 431-2 v1.1.1
- ETSI TS 119 511 v1.1.1

3. CONFORMITY ASSESSMENT TARGET

Name: „Netlock Ltd. as a qualified trust service provider under under eIDAS regulation qcp-n-qscd, qcp-l-qscd, qcp-l, qcp-n, qcp-w, Netlock Sign, NETLOCK cloud service, qualified preservation service for qualified electronic signatures, qualified electronic time stamps services”

3.1. Documentation of the trust service provider

- Szolgáltatási Rend Minősített Tanúsítványszolgáltatásra,
Object ID (OID): 1.3.6.1.4.1.3555.1.14.20200731,
Start date of validity: 2020.08. 31.
- Szolgáltatási Szabályzat Minősített Tanúsítványszolgáltatásra,
Object ID (OID): 1.3.6.1.4.1.3555.1.15.20210331
Start date of validity: 2020.08.31.

- Szolgáltatási Rend Minősített Időbélyeg-szolgáltatásra,
Object ID (OID): 1.3.6.1.4.1.3555.1.16.20210331
Start date of validity: 2020.04.30.
- Szolgáltatási Szabályzat Minősített Időbélyeg-szolgáltatásra,
Object ID (OID): 1.3.6.1.4.1.3555.1.63.20210331
Start date of validity: 2020.04.30.
- Szolgáltatási Rend Minősített Archiválásszolgáltatásra,
Object ID (OID): 1.3.6.1.4.1.3555.1.48.20210331
Start date of validity: 2020.04.30.
- Szolgáltatási Szabályzat Minősített Archiválásszolgáltatásra,
Object ID (OID): 1.3.6.1.4.1.3555.1.47.20210331
Start date of validity: 2020.04.30.

3.2. Customer of the certification

- NETLOCK Informatikai és Hálózatbiztonsági Szolgáltató Korlátolt Felelősségű Társaság
- Székhelye: 1101 Budapest, Expo tér 5-7.
- E-mail: info@netlock.hu

3.3. Audit methodology

The conformity assessment performed the audit team auditing method.

Auditing: Systematic, independent, documented process facts on records, claims or other important informations acquisition and their objective evaluation in order to determine to what extent the requirements are met.

The objective evidence collected by the audit team with the following techniques:

- Documentation evaluation
- Visual inspection
- Interviewing
- Technical review

Documentation evaluation: Policies from the organization, certificate policies and evaluation of regulatory documents.

Visual inspection: During the on-site audit on the basis of administrative security measures and physical security protection measures in existence and application of visual assessment of audit along the route.

Interviewing: Observation persons involved in the certificate policies and in the process they have done, evaluation in targeted areas of assessment issues related to information security.

Technical review: The logical security provisions, technical configuration regulations evaluation of the IT system.

3.4. Audit time interval

MATRIX Ltd. performed the audit methodology described in chapter 3.3 in the following time interval:

Audit interval: 2021.04.01. – 2021.06.17.

3.5. Description of the changes to the audit plan

During the audit, the audit team members worked in accordance with the audit plan, so the audit did not differ from the planned schedule.

4. SUMMARY OF THE CONFORMITY ASSESSMENT REQUIREMENTS**4.1.1. Accessibility for persons with disabilities**

- eIDAS Article 15

4.1.2. Security requirements applicable to trust service providers

- eIDAS Article 19, Para. 1, 2

4.1.3. Requirements for qualified trust service providers

- eIDAS, Article 24, Para. 2 a), b), c), d), e), f), g), h), i), j) 3) and 4)

The eIDAS contains the following specific requirements for the trust service:

4.1.1. Requirements for qualified certificates for electronic signatures

- eIDAS Article 28. Para. 1, 2, 3, 4, 5 a), b), Article 29. Para. 1 and 2

4.1.2. Requirements for preservation of qualified electronic signatures and seals

- eIDAS, Article 34, Para. 1

4.1.3. Requirements for qualified electronic time stamps

- eIDAS Article 42. Para. 1 a), b) and c)

4.1.4. Requirements for qualified certificates for website authentication

- eIDAS Article 45. Para. 1

5. CONFORMITY

The target of conformity assessment fulfills all applicable conformity assessment requirements.

The certification requirements defined in the certification scheme are fulfilled.