

# Standard Audit Attestation for

## NETLOCK Ltd.

**Reference: IT-NL25T1\_AAL-03.STANDARD**

Budaörs, 2025-07-30

To whom it may concern,

This is to confirm that [MATRIX Ltd.](#) has audited the CAs of the [Netlock Ltd.](#) without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number IT-NL25T1\_AAL-03.STANDARD covers multiple Root-CAs and consists of 24 pages.

Kindly find here below the details accordingly.

In case of any question, please contact:

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Phone: +36306984341

With best regards,

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*Gábor Nagy*  
Director of Certification

## General audit information

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| Identification of the conformity assessment body (CAB) and assessment organization acting as ETSI auditor                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| <ul style="list-style-type: none"><li>• MATRIX Auditing, Evaluating and Certification Ltd., Szabadság út 290., H-2040 Budaörs, Hungary registered under 13-09-084216</li><li>• Accredited by National Accreditation Authority under registration <a href="#">NAH-6-0054/2024/K</a><sup>1</sup> for the certification of trust services according to EN ISO/IEC 17065:2012 and ETSI EN 319 403-1 V2.3.1 (2020-06).</li><li>• Insurance Carrier (BRG section 8.2): K&amp;H Biztosító Zrt. (K&amp;H Insurance)</li><li>• Third-party affiliate audit firms involved in the audit: None.</li></ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| Identification and qualification of the audit team                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <ul style="list-style-type: none"><li>• Number of team members: 3</li><li>• Academic qualifications of team members:<br/>All team members have formal academic qualifications or professional training or extensive experience indicating general capability to carry out audits based on the knowledge given below and at least four years full time practical workplace experience in information technology, of which at least two years have been in a role or function relating to relevant trust services, public key infrastructure, information security including risk assessment/management, network security and physical security.</li><li>• Additional competences of team members:</li><li>• All team members have knowledge of<ol style="list-style-type: none"><li>1) audit principles, practices and techniques in the field of CA/TSP audits gained in a training course of at least five days;</li><li>2) the issues related to various areas of trust services, public key infrastructure, information security including risk assessment/management, network security and physical security;</li><li>3) the applicable standards, publicly available specifications and regulatory requirements for CA/TSPs and other relevant publicly available specifications including standards for IT product evaluation; and</li><li>4) the Conformity Assessment Body's processes.</li></ol>Furthermore, all team members have language skills appropriate for all organizational levels within the CA/TSP organization; note-taking, report-writing, presentation, and interviewing skills; and relevant personal attributes: objective, mature, discerning, analytical, persistent and realistic.</li><li>• Professional training of team members:<br/>See "Additional competences of team members" above. Apart from that are all team members trained to demonstrate adequate competence in:<ol style="list-style-type: none"><li>a) knowledge of the CA/TSP standards and other relevant publicly available specifications;</li><li>b) understanding functioning of trust services and information security including network security issues;</li><li>c) understanding of risk assessment and risk management from the business perspective;</li><li>d) technical knowledge of the activity to be audited;</li></ol></li></ul> |

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<sup>1</sup> URL to the accreditation certificate hosted by the national accreditation body

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| <p>e) general knowledge of regulatory requirements relevant to TSPs; and<br/>f) knowledge of security policies and controls.</p> <ul style="list-style-type: none"> <li>Types of professional experience and practical audit experience:<br/>The CAB ensures, that its personnel performing audits maintains competence on the basis of appropriate education, training or experience; that all relevant experience is current and prior to assuming responsibility for performing as an auditor, the candidate has gained experience in the entire process of CA/TSP auditing. This experience shall have been gained by participating under supervision of lead auditors in a minimum of four TSP audits for a total of at least 20 days, including documentation review, on-site audit and audit reporting.</li> <li>Additional qualification and experience Lead Auditor:<br/>On top of what is required for team members (see above), the Lead Auditor <ul style="list-style-type: none"> <li>a) has acted as auditor in at least three complete TSP audits;</li> <li>b) has adequate knowledge and attributes to manage the audit process; and</li> <li>c) has the competence to communicate effectively, both orally and in writing.</li> </ul> </li> <li>Special skills or qualifications employed throughout audit:<br/>None.</li> <li>Special Credentials, Designations, or Certifications:<br/>All members are qualified and registered assessors within the accredited CAB.</li> <li>Auditors code of conduct incl. independence statement:<br/>Code of Conduct as of Annex A, ETSI EN 319 403 or ETSI EN 319 403-1 respectively.</li> </ul> |                                                                                                                                                                                                   |
| <p>Identification and qualification of the reviewer performing audit quality management</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                   |
| <ul style="list-style-type: none"> <li>Number of Reviewers/Audit Quality Managers involved independent from the audit team: 1</li> <li>The reviewer fulfils the requirements as described for the Audit Team Members above and has acted as an auditor in at least three complete CA/TSP audits.</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                   |
| <p>Identification of the CA / Trust Service Provider (TSP):</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | <p>NETLOCK Informatics and Network Security Services Limited<br/>Liability Company, Hungária krt. 17-19., H-1143 Budapest,<br/>Hungary, registered under company registration 01-09-563961</p>    |
| <p>Type of audit:</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | <p><input type="checkbox"/> Point in time audit<br/><input type="checkbox"/> Period of time, after x month of CA operation<br/><input checked="" type="checkbox"/> Period of time, full audit</p> |
| <p>Audit period covered for all policies:</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | <p>2024-08-25 to 2025-07-23</p>                                                                                                                                                                   |
| <p>Point in time date:</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | <p>none, as audit was a period of time audit</p>                                                                                                                                                  |
| <p>Audit dates:</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | <p>2025-06-20 to 2025-07-01 (remote)<br/>2025-07-07 to 2025-07-11 (on site)</p>                                                                                                                   |
| <p>Audit location:</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <p>H-1143 Budapest, Hungária körút 17-19, Hungary<br/>H-1101 Budapest, Expo tér 5-7, Hungary<br/>H-1087 Budapest, Asztalos Sándor út 13, Hungary</p>                                              |

Root 1: Netlock Arany (Class Gold) Főtanúsítvány

|                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
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| Standards considered: | <p>European Standards:</p> <ul style="list-style-type: none"><li>ETSI EN 319 411-2 V2.5.1 (2023-10)</li><li>ETSI EN 319 411-1 V1.4.1 (2023-10)</li><li>ETSI EN 319 401 V3.1.1 (2024-06)</li></ul> <p>CA Browser Forum Requirements:</p> <ul style="list-style-type: none"><li>Baseline Requirements for the Issuance and Management of Publicly-Trusted Certificates, version 2.1.5</li><li>Network and Certificate System Security Requirements, version 2.0.5</li></ul> <p>Browser Policy Requirements:</p> <ul style="list-style-type: none"><li>Chrome Root Program Policy, Version 1.7 (2025-07-15)</li><li>Mozilla Root Store Policy, Version 3.0 (2025-03-15)</li><li>Microsoft Trusted Root Certificate Program (2025-02-25)</li><li>Apple Root Certificate Program (2023-08-15)</li></ul> <p>For the Trust Service Provider Conformity Assessment:</p> <ul style="list-style-type: none"><li>ETSI EN 319 403-1 V2.3.1 (2020-06)</li><li>ETSI TS 119 403-2 V1.3.1 (2023-03)</li></ul> |
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The audit was based on the following policy and practice statement documents of the CA / TSP:

- Service Policy for Non-Qualified Certification Services, version 250409, as of 2025-04-09
- Service Practice Statement for Non-Qualified Certification Services, version 250517, as of 2025-05-17
- Service Policy for Qualified Certification Services, version 250409, as of 2025-04-09
- Service Practice Statement for Qualified Certificate Services, version 250517, as of 25-05-17

In the following areas, non-conformities have been identified throughout the audit:

Findings with regard to ETSI EN 319 401:

7.2 Human resources

This should include regular (at least every 12 months) updates on new threats and current security practices. [REQ-7.2-05X]

7.9.2 Incident response

The TSP shall establish and maintain effective communication plans that include incident categorisation, well-defined escalation procedures, and standardised reporting protocols. [REQ-7.9.2-04X]

Findings with regard to ETSI EN 319 411-1:

None.

Findings with regard to ETSI EN 319 411-2:

None.

For all non-conformities, remediation has been scheduled within three months after the onsite audit at latest and will be covered by a corresponding audit.

This Audit Attestation also covers the following incidents as described in the following.

- Bug 1947691, NETLOCK: Bug 1891331 replacement - delayed revocation –  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1947691](https://bugzilla.mozilla.org/show_bug.cgi?id=1947691)
- Bug 1962426, NETLOCK: CA/Browser Forum TLS BR Non-compliance:  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1962426](https://bugzilla.mozilla.org/show_bug.cgi?id=1962426)
- Bug 1938167, NETLOCK: CRL not published in DER Encoded Format:  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1938167](https://bugzilla.mozilla.org/show_bug.cgi?id=1938167)
- Bug 1905509, NETLOCK: CPR was not responded to in 24 hours:  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1905509](https://bugzilla.mozilla.org/show_bug.cgi?id=1905509)
- Bug 1957474, Netlock: Failure to Provide Weekly Updates:  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1957474](https://bugzilla.mozilla.org/show_bug.cgi?id=1957474)
- Bug 1904041, NETLOCK: Intermediate CA Certificate not disclosed to CCADB  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1904041](https://bugzilla.mozilla.org/show_bug.cgi?id=1904041)
- Bug 1917046, NETLOCK: Findings in 2024 Audit  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1917046](https://bugzilla.mozilla.org/show_bug.cgi?id=1917046)

The remediation measures taken by NETLOCK Ltd. as described on Bugzilla (see link above) have been checked by the auditors and Select appropriate addressed the incident.

| Distinguished Name                                                                                  | SHA-256 fingerprint                                                                                             | Applied policy                                                                                                                                                                             |
|-----------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Complete subject DN:<br><br>CN= NetLock Arany (Class Gold)<br>Főtanúsítvány, O= NetLock Kft., C= HU | SHA-256 fingerprint of the certificate:<br><br>6C61DAC3A2DEF031506BE036D2A6FE401994FBD13DF9C8D466599274C446EC98 | ETSI EN policy that this Root has been assessed against:<br><br>ETSI EN 319 411-1 V1.3.1, ETSI EN 319 411-2 V2.4.1, QCP-n, QCP-l, QCP-n-qscd, QCP-l-qscd, OVCP, EVCP, LCP, NCP, NCP+, DVCP |

**Table 1: Root-CA 1 in scope of the audit**

The TSP named the Sub-CAs that have been issued by the aforementioned Root-CA, that are listed in the following table and that have been covered in this audit.

| Distinguished Name                                            | SHA-256 fingerprint                                              | Applied policy                                              |
|---------------------------------------------------------------|------------------------------------------------------------------|-------------------------------------------------------------|
| CN= NETLOCK TLS OV ECC CA, O= NETLOCK Kft., C= HU             | D0EB908401F33242602634AFD51991536B3AD7AA901586FDEB4955FE51B0E419 | ETSI EN 319 411-1 V1.3.1, ETSI EN 319 411-2 V2.4.1, OVCP    |
| CN= NETLOCK TLS EV ECC CA, O= NETLOCK Kft., C= HU             | F37E7AD92ECEA12F307501C126B3E2D6DE2C7417D3E1B72D26069C1370E78894 | ETSI EN 319 411-1 V1.3.1, ETSI EN 319 411-2 V2.4.1, EVCP    |
| CN= NETLOCK TLS Qualified EV ECC CA, O= NetLock Kft., C= HU   | 17771F6947FA3472786D3A44B5ADE2AACBA9ADA203BA31EBD4BD8CEBAFCE494A | ETSI EN 319 411-1 V1.3.1, ETSI EN 319 411-2 V2.4.1, QEVCP-w |
| CN= NETLOCK TLS DV ECC CA, O= NETLOCK Kft., C= HU             | 001279B949DD8670F1AE6DA407913B726F61AEF78A2FF19C2DA39522B31DC0C7 | ETSI EN 319 411-1 V1.3.1, ETSI EN 319 411-2 V2.4.1 DVCP     |
| CN = NETLOCK Trust Advanced Plus CA, O = NetLock Kft., C = HU | F2603670BEDEAD1D977D6992FA6554E6CA595BC50F3B03F416DCF0F20DAC36C2 | ETSI EN 319 411-1 V1.3.1, LCP                               |
| CN = NETLOCK Trust Advanced CA, O = NetLock Kft., C = HU      | D82F87F93D31D5FC818DD66BD50E7F319AE179FC1C5D00547B658E8EB3F4CE56 | ETSI EN 319 411-1 V1.3.1, LCP                               |
| CN = NETLOCK Trust CA, O = NetLock Kft., C = HU               | 58D7A197F09A6EA552B8EA6B1A53185A030A3AD8D52220C00C44E3F450E4FB90 | ETSI EN 319 411-1 V1.3.1, LCP, NCP, NCP+                    |
| CN = NETLOCK Trust SSL CA, O = NetLock Kft., C = HU (revoked) | 0A778BF9DD7F75B2F983FB7FA705C987CCCB5877404BE7EB5D8BA0B73545D0D9 | ETSI EN 319 411-1 V1.3.1, OVCP                              |
| CN = NETLOCK Trust EV CA, O = NetLock Kft., C = HU (revoked)  | A476FE1FBFEBA08175A0C34807990B86E63B5AA2D6CE579C4F456C95575BB1F6 | ETSI EN 319 411-1 V1.3.1, OVCP, EVCP                        |

|                                                                                                    |                                                                  |                                                                |
|----------------------------------------------------------------------------------------------------|------------------------------------------------------------------|----------------------------------------------------------------|
| CN = NETLOCK Trust EV CA 2, O = NetLock Kft., C = HU (revoked)                                     | CD0CC3A6F6857D427D6D98F6BF877E0845E365C5BAC61C9BAF27AE0AC3681A57 | ETSI EN 319 411-1 V1.3.1, OVCP, EVCP                           |
| CN = NETLOCK Trust EV CA 3, O = NetLock Kft., C = HU                                               | 047795785CDCFF9E6E0AE122492E5B7BF08A9E5C49762E2BCB52747C69031561 | ETSI EN 319 411-1 V1.3.1, OVCP, EVCP                           |
| CN = NetLock CodeSign CA, O = NetLock Kft., C = HU                                                 | C56F0F286618C1E7B0E112C97B9EE96FEB4D71E79496C151FA1FE8A8CEBD06CD | ETSI EN 319 411-1 V1.3.1, LCP, NCP, NCP+                       |
| CN = NETLOCK DVSSL CA, O = NetLock Kft., C = HU                                                    | F91606D1BC52C610136CAA856AB500C48C3B993BAC4808CD82BC4B78ABF24156 | ETSI EN 319 411-1 V1.3.1, DVCP                                 |
| CN = NETLOCK Public Administration CA, O = NetLock Kft., C = hu                                    | DB71EC6A5F220A7FB90FE0D18AAA0CFE16CFD0FA63F279DAC4FF3A73FC82C4A0 | ETSI EN 319 411-1 V1.3.1, NCP+                                 |
| CN = NETLOCK Trust Qualified QSCD CA, O = NetLock Kft., C = HU                                     | 9E4362A918A89009877C7B8B190E763AE012AD47C1CCA5FCCF166FC092BC2ADE | ETSI EN 319 411-2 V2.4.1, QCP-n-qscd, QCP-l-qscd               |
| CN = NETLOCK Trust Qualified RQSCD CA, O = NetLock Kft., C = HU                                    | A59F880816A50886D4D5996E64918DD9D401472AA685D28E7DECA5299F05FCE0 | ETSI EN 319 411-2 V2.4.1, QCP-n-qscd, QCP-l-qscd               |
| CN = NETLOCK Trust Qualified SCD CA, O = NetLock Kft., C = HU                                      | 7CE1DB7D16E9BFE80693214A3D7C6263AA24789E399017E69EDE4802ECF6F711 | ETSI EN 319 411-2 V2.4.1, QCP-n, QCP-l                         |
| CN = NETLOCK Trust Qualified CA, O = NetLock Kft., C = HU                                          | 5498963DFFA651604F467E108E65A183470FA9B557C129DDF8C9D812B4F0BF96 | ETSI EN 319 411-2 V2.4.1, QCP-n, QCP-l                         |
| CN = NETLOCK Trust Qualified EV CA, O = NetLock Kft., C = HU (revoked)                             | B0F25A6D9A96315C7CDAEA3E490E7BFA9FB17310B0701B7CD6FF432530837730 | ETSI EN 319 411-1 V1.3.1, ETSI EN 319 411-2 V2.4.1, QEVCP-w    |
| CN = NETLOCK Trust Qualified EV CA 2, O = NetLock Kft., C = HU (revoked)                           | 36EFD13AE5DC6D01B6C1956841B45D18CE8085FBC197D90E8A8A21A9B01EBFBA | ETSI EN 319 411-1 V1.3.1, ETSI EN 319 411-2 V2.4.1, QEVCP-w    |
| CN = NETLOCK Trust Qualified EV CA 3, O = NetLock Kft., C = HU                                     | 7ECACA4A3585A3B40E25574415512D56B57999B753017856F2AB15FA1F21F6D0 | ETSI EN 319 411-1 V1.3.1, ETSI EN 319 411-2 V2.4.1, QEVCP-w    |
| CN = NETLOCK Qualified Public Administration CA, O = NetLock Kft., C = HU                          | 9E48A8B70E6CF4CF7099DB8995EE9EF5179911DC8830369BF66518CD5FA8AC01 | ETSI EN 319 411-2 V2.4.1, QCP-n, QCP-l, QCP-n-qscd, QCP-l-qscd |
| CN = NetLock Minősített Eat. (Class Q Legal) Tanúsítványkiadó, O = NetLock Kft., C = HU            | 6284A03BAFAE861A30E3A25A030428306F7EB52BD0BA577A1D962A809A830C9E | ETSI EN 319 411-2 V2.4.1, QCP-n, QCP-l, QCP-n-qscd, QCP-l-qscd |
| CN = NetLock Minősített Eat. Spec.(Class Q Legal Spec.) Tanúsítványkiadó, O = NetLock Kft., C = HU | A5F2FD0D66DB4DD77A2914ED3C747CBD97E734CF4E2B6F217FB41AA4EAFDEAD2 | ETSI EN 319 411-2 V2.4.1, QCP-n, QCP-l, QCP-n-qscd, QCP-l-qscd |

|                                                                                                      |                                                                  |                                                |
|------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|------------------------------------------------|
| CN = NetLock Közjegyzői Eat. (Class A Legal) Tanúsítványkiadó, O = NetLock Kft., C = HU              | AD47883A48C86A3469E32C972B39A3EE155804D32BF53FF002000BCA11D295D9 | ETSI EN 319 411-1 V1.3.1, LCP                  |
| CN = NetLock Üzleti Eat. (Class B Legal) Tanúsítványkiadó, O = NetLock Kft., C = HU                  | 1D93686CA42C70394FBDC2BC1F98461D19871C2A00078B815499312ED9F6FE0C | ETSI EN 319 411-1 V1.3.1, LCP                  |
| CN = NetLock Expressz Eat. (Class C Legal) Tanúsítványkiadó, O = NetLock Kft., C = HU                | BB661D750C53166181807A6898FD464065CE59298986AD66D9D6FFFCBBD4738A | ETSI EN 319 411-1 V1.3.1, LCP                  |
| CN = NetLock Közjegyzői (Class A) Tanúsítványkiadó, O = NetLock Kft., C = HU                         | 75894D4C94C22D7296EE19FB447623258C2591B17031288BB386A20AA1F0E0A6 | ETSI EN 319 411-1 V1.3.1, OVCP                 |
| CN = NetLock Üzleti (Class B) Tanúsítványkiadó, O = NetLock Kft., C = HU                             | 6B23FAD890180C337B864155DAE0DE9BAD9EF0BDA78D112F2CBDC3D02AD17956 | ETSI EN 319 411-1 V1.3.1, OVCP                 |
| CN = NetLock Expressz (Class C) Tanúsítványkiadó, O = NetLock Kft., C = HU                           | 372B8F4CE73BEDFC88718C407BB6B3E6D8F9A79BE957190D0E7101C7B0EF9A32 | ETSI EN 319 411-1 V1.3.1, OVCP                 |
| CN = MKB Hitelesítő alegység 2, O = NetLock Kft., C = HU (revoked)                                   | E53A9D8C0046C6366D3C6A18E23B4F4CBAAADB7D79B8C2F18786821DEE046AF3 | ETSI EN 319 411-2 V2.4.1, QCP-n, QCP-I         |
| CN = MKB SubCA 5, O = NetLock Kft., C = HU (revoked)                                                 | BC81F4A347BCBE1D53AF1C71B0D7E403246389D7465D5296D3B963AB208C2080 | ETSI EN 319 411-2 V2.4.1, QCP-n, QCP-I         |
| CN = MNB Hitelesítő Alegység 2. Eat., O = NetLock Kft., C = HU (expired)                             | 878EF628267A15493D2BD9B1BA12311874680BD8E1C4F04A8B369241DB034DFA | ETSI EN 319 411-2 V2.4.1, QCP-n, QCP-I         |
| CN = Keler Hitelesítő Alegység Eat., O = NetLock Kft., C = HU (revoked)                              | 73C27704C3B312807D18155B3AD06907DC9A374B66FBEDC2BC2973031A6BE988 | ETSI EN 319 411-2 V2.4.1, QCP-n, QCP-I         |
| CN = MNB Hitelesítő Alegység 2., O = NetLock Kft., C = HU                                            | 4A3BB05DB15EE3BE9774206B8B6AFE229C6F7C87C3A5CFA08861568EBDCE7DB3 | ETSI EN 319 411-2 V2.4.1, QCP-n, QCP-I         |
| CN = Keler Hitelesítő Alegység Eat., O = NetLock Kft., C = HU (revoked)                              | EE2723FB2591671774DE5EF80BEC656A7AF01F3B24067AF269EE35301891AC51 | ETSI EN 319 411-2 V2.4.1, QCP-n, QCP-I         |
| CN = NetLock Uzleti (Class B) Tanusitvanykiado, O = NetLock Halozatbiztonsagi Kft., C = HU (expired) | 39DF7B682B7B938F84715481CCDE8D60D8F22EC598877D0AAAC12B59182B0312 | ETSI EN 319 411-1 V1.3.1, LCP, NCP, NCP+, OVCP |



|                                                                                                               |                                                                  |                                                                                                     |
|---------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|
| CN = NetLock OnlineSSL (Class Online)<br>Tanúsítványkiadó, O = NetLock Kft., C = HU                           | CC9F72544FF617C14CEBE8283194A70F1E8981CF163D5A3FD8DCCFD846D5DC1A | ETSI EN 319 411-1 V1.3.1, DVCP                                                                      |
| CN = KGYHSZ (Public Administration<br>Root CA - Hungary), C = hu                                              | 833492D73A6CF4E319C59F358D37DFB55198ED38A98890FE471091F4E3DF2720 | 0.2.216.1.100.42.1.200.2, cPSuri =<br><a href="http://cp.kgyhsz.gov.hu">http://cp.kgyhsz.gov.hu</a> |
| CN = NetLock Minősített Közigazgatási<br>(Class Q) Tanúsítványkiadó, O = NetLock<br>Kft., C = HU (expired)    | EBA22784D20902A9F9AF3F640D14889A53D7F3C4B5B0697016B8D749ADE97F3E | ETSI EN 319 411-2 V2.4.1, QCP-n, QCP-<br>l, QCP-n-qscd, QCP-l-qscd                                  |
| CN = NetLock Közigazgatási (Class B)<br>Tanúsítványkiadó, O = NetLock Kft., C =<br>HU (expired)               | F7F8CD8A0BCFEF0376B4DE06904BD4F179BF3B54257FCF305CDCCF7ED7F3524  | ETSI EN 319 411-1 V1.3.1, NCP+                                                                      |
| CN = NetLock Üzleti (Class B)<br>Tanúsítványkiadó, O = NetLock<br>Hálózatbiztonsági Kft., C = HU (expired)    | 39DF7B682B7B938F84715481CCDE8D60D8F22EC598877D0AAAC12B59182B0312 | ETSI EN 319 411-1 V1.3.1, LCP, NCP,<br>NCP+, OVCP                                                   |
| CN = NetLock Minősített Kozjegyzői<br>(Class QA) Tanúsítványkiadó, O =<br>NetLock Kft., C = HU                | E606DDEEE2EE7F5CDEF5D9058FF8B7D0A9F042877F6A171ED8FF6960E4CC5EA5 | ETSI EN 319 411-1 V1.3.1, LCP, NCP,<br>NCP+                                                         |
| CN = NetLock Kozjegyzői (Class A)<br>Tanúsítványkiadó O = NetLock<br>Hálózatbiztonsági Kft., C = HU (expired) | 7F12CD5F7E5E290EC7D85179D5B72C20A5BE7508FFDB5BF81AB9684A7FC9F667 | ETSI EN 319 411-1 V1.3.1, LCP, NCP,<br>NCP+, OVCP                                                   |
| CN=NetLock Expressz (Class C)<br>Tanúsítványkiadó, O=NetLock<br>Hálózatbiztonsági Kft., C=HU (expired)        | 0B5EED4E846403CF55E065848440ED2A82758BF5B9AA1F253D4613CFA080FF3F | ETSI EN 319 411-1 V1.3.1, LCP, NCP,<br>NCP+, OVCP                                                   |

**Table 2: Sub-CA's issued by the Root-CA 1 or its Sub-CA's in scope of the audit**

Root 2: NetLock Platina (Class Platinum) Főtanúsítvány

|                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|-----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Standards considered: | <p>European Standards:</p> <ul style="list-style-type: none"><li>ETSI EN 319 411-2 V2.5.1 (2023-10)</li><li>ETSI EN 319 411-1 V1.4.1 (2023-10)</li><li>ETSI EN 319 401 V3.1.1 (2024-06)</li></ul> <p>CA Browser Forum Requirements:</p> <ul style="list-style-type: none"><li>Baseline Requirements for the Issuance and Management of Publicly-Trusted Certificates, version 2.1.5</li><li>Network and Certificate System Security Requirements, version 2.0.5</li></ul> <p>Browser Policy Requirements:</p> <ul style="list-style-type: none"><li>Chrome Root Program Policy, Version 1.7 (2025-07-15)</li><li>Mozilla Root Store Policy, Version 3.0 (2025-03-15)</li><li>Microsoft Trusted Root Certificate Program (2025-02-25)</li><li>Apple Root Certificate Program (2023-08-15)</li></ul> <p>For the Trust Service Provider Conformity Assessment:</p> <ul style="list-style-type: none"><li>ETSI EN 319 403-1 V2.3.1 (2020-06)</li><li>ETSI TS 119 403-2 V1.3.1 (2023-03)</li></ul> |
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The audit was based on the following policy and practice statement documents of the CA / TSP:

- Service Policy for Non-Qualified Certification Services, version 250409, as of 2025-04-09
- Service Practice Statement for Non-Qualified Certification Services, version 250517, as of 2025-05-17
- Service Policy for Qualified Certification Services, version 250409, as of 2025-04-09
- Service Practice Statement for Qualified Certificate Services, version 250517, as of 25-05-17

In the following areas, non-conformities have been identified throughout the audit:

Findings with regard to ETSI EN 319 401:

7.2 Human resources

This should include regular (at least every 12 months) updates on new threats and current security practices. [REQ-7.2-05X]

7.9.2 Incident response

The TSP shall establish and maintain effective communication plans that include incident categorisation, well-defined escalation procedures, and standardised reporting protocols. [REQ-7.9.2-04X]

Findings with regard to ETSI EN 319 411-1:

None.

Findings with regard to ETSI EN 319 411-2:

None.

For all non-conformities, remediation has been scheduled within three months after the onsite audit at latest and will be covered by a corresponding audit.

This Audit Attestation also covers the following incidents as described in the following.

- Bug 1947691, NETLOCK: Bug 1891331 replacement - delayed revocation –  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1947691](https://bugzilla.mozilla.org/show_bug.cgi?id=1947691)
- Bug 1962426, NETLOCK: CA/Browser Forum TLS BR Non-compliance:  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1962426](https://bugzilla.mozilla.org/show_bug.cgi?id=1962426)
- Bug 1938167, NETLOCK: CRL not published in DER Encoded Format:  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1938167](https://bugzilla.mozilla.org/show_bug.cgi?id=1938167)
- Bug 1905509, NETLOCK: CPR was not responded to in 24 hours:  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1905509](https://bugzilla.mozilla.org/show_bug.cgi?id=1905509)
- Bug 1957474, Netlock: Failure to Provide Weekly Updates:  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1957474](https://bugzilla.mozilla.org/show_bug.cgi?id=1957474)
- Bug 1904041, NETLOCK: Intermediate CA Certificate not disclosed to CCADB  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1904041](https://bugzilla.mozilla.org/show_bug.cgi?id=1904041)
- Bug 1917046, NETLOCK: Findings in 2024 Audit  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1917046](https://bugzilla.mozilla.org/show_bug.cgi?id=1917046)

The remediation measures taken by NETLOCK Ltd. as described on Bugzilla (see link above) have been checked by the auditors and Select appropriate addressed the incident.

| Distinguished Name                                                                                           | SHA-256 fingerprint                                                                                             | Applied policy                                                                                                                    |
|--------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|
| Complete subject DN:<br><br>CN = NetLock Platina (Class Platinum)<br>Főtanúsítvány, O = NetLock Kft., C = HU | SHA-256 fingerprint of the certificate:<br><br>EB7E05AA58E7BD328A282BF8867033F3C035342B516EE85C01673DFFFFBBFE58 | ETSI EN policy that this Root has been assessed against:<br><br>ETSI EN 319 411-1 V1.3.1, ETSI EN 319 411-2 V2.4.1, DVCP, QEVCP-w |

**Table 3: Root-CA 2 in scope of the audit**

The TSP named the Sub-CAs that have been issued by the aforementioned Root-CA, that are listed in the following table and that have been covered in this audit.

| Distinguished Name                                                                     | SHA-256 fingerprint                                                                                             | Applied policy                                                                                                                    |
|----------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|
| Complete subject DN:<br><br>CN = NETLOCK Domain Validated CA, O = NETLOCK Ltd., C = HU | SHA-256 fingerprint of the certificate:<br><br>A1663E37B8992D819C69FB89707065CABDFE3D53C278FC3EC2601001798602F8 | ETSI EN policy that this Root has been assessed against:<br><br>ETSI EN 319 411-1 V1.3.1, ETSI EN 319 411-2 V2.4.1, DVCP, QEVCP-w |

**Table 4: Sub-CA's issued by the Root-CA 2 or its Sub-CA's in scope of the audit**

Root 3: NETLOCK Root ECC CA

|                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|-----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Standards considered: | <p>European Standards:</p> <ul style="list-style-type: none"><li>ETSI EN 319 411-2 V2.5.1 (2023-10)</li><li>ETSI EN 319 411-1 V1.4.1 (2023-10)</li><li>ETSI EN 319 401 V3.1.1 (2024-06)</li></ul> <p>CA Browser Forum Requirements:</p> <ul style="list-style-type: none"><li>Baseline Requirements for the Issuance and Management of Publicly-Trusted Certificates, version 2.1.5</li><li>Network and Certificate System Security Requirements, version 2.0.5</li></ul> <p>Browser Policy Requirements:</p> <ul style="list-style-type: none"><li>Chrome Root Program Policy, Version 1.7 (2025-07-15)</li><li>Mozilla Root Store Policy, Version 3.0 (2025-03-15)</li><li>Microsoft Trusted Root Certificate Program (2025-02-25)</li><li>Apple Root Certificate Program (2023-08-15)</li></ul> <p>For the Trust Service Provider Conformity Assessment:</p> <ul style="list-style-type: none"><li>ETSI EN 319 403-1 V2.3.1 (2020-06)</li><li>ETSI TS 119 403-2 V1.3.1 (2023-03)</li></ul> |
|-----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

The audit was based on the following policy and practice statement documents of the CA / TSP:

- Service Policy for Non-Qualified Certification Services, version 250409, as of 2025-04-09
- Service Practice Statement for Non-Qualified Certification Services, version 250517, as of 2025-05-17
- Service Policy for Qualified Certification Services, version 250409, as of 2025-04-09
- Service Practice Statement for Qualified Certificate Services, version 250517, as of 25-05-17

In the following areas, non-conformities have been identified throughout the audit:

Findings with regard to ETSI EN 319 401:

7.2 Human resources

This should include regular (at least every 12 months) updates on new threats and current security practices. [REQ-7.2-05X]

7.9.2 Incident response

The TSP shall establish and maintain effective communication plans that include incident categorisation, well-defined escalation procedures, and standardised reporting protocols. [REQ-7.9.2-04X]

Findings with regard to ETSI EN 319 411-1:

None.

Findings with regard to ETSI EN 319 411-2:

None.

For all non-conformities, remediation has been scheduled within three months after the onsite audit at latest and will be covered by a corresponding audit.

This Audit Attestation also covers the following incidents as described in the following.

- Bug 1947691, NETLOCK: Bug 1891331 replacement - delayed revocation –  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1947691](https://bugzilla.mozilla.org/show_bug.cgi?id=1947691)
- Bug 1962426, NETLOCK: CA/Browser Forum TLS BR Non-compliance:  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1962426](https://bugzilla.mozilla.org/show_bug.cgi?id=1962426)
- Bug 1938167, NETLOCK: CRL not published in DER Encoded Format:  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1938167](https://bugzilla.mozilla.org/show_bug.cgi?id=1938167)
- Bug 1905509, NETLOCK: CPR was not responded to in 24 hours:  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1905509](https://bugzilla.mozilla.org/show_bug.cgi?id=1905509)
- Bug 1957474, Netlock: Failure to Provide Weekly Updates:  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1957474](https://bugzilla.mozilla.org/show_bug.cgi?id=1957474)
- Bug 1904041, NETLOCK: Intermediate CA Certificate not disclosed to CCADB  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1904041](https://bugzilla.mozilla.org/show_bug.cgi?id=1904041)
- Bug 1917046, NETLOCK: Findings in 2024 Audit  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1917046](https://bugzilla.mozilla.org/show_bug.cgi?id=1917046)

The remediation measures taken by NETLOCK Ltd. as described on Bugzilla (see link above) have been checked by the auditors and Select appropriate addressed the incident.

| Distinguished Name                                                             | SHA-256 fingerprint                                                                                             | Applied policy                                                                                                                                                                             |
|--------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Complete subject DN:<br><br>CN = NETLOCK Root ECC CA, O = NETLOCK Kft., C = HU | SHA-256 fingerprint of the certificate:<br><br>00F12C1ECFE26D34A28FC6BF9FB35085302C7D53A9AC3588ED7CD86C9C7423AA | ETSI EN policy that this Root has been assessed against:<br><br>ETSI EN 319 411-1 V1.3.1, ETSI EN 319 411-2 V2.4.1, QCP-n, QCP-l, QCP-n-qscd, QCP-l-qscd, OVCP, EVCP, LCP, NCP, NCP+, DVCP |

**Table 5: Root-CA 3 in scope of the audit**

The TSP named the Sub-CAs that have been issued by the aforementioned Root-CA, that are listed in the following table and that have been covered in this audit.

| Distinguished Name                       | SHA-256 fingerprint                                              | Applied policy                                   |
|------------------------------------------|------------------------------------------------------------------|--------------------------------------------------|
| NETLOCK Trust Qualified ECC CA           | 4282734163BF9FF8424F1EEFB06839FF25DE67D6C1FD50793691740B6045B76C | ETSI EN 319 411-1 V1.3.1, LCP, NCP, NCP+         |
| NETLOCK Trust Qualified SCD ECC CA       | 7DCA097AB7649E648217D0ADE6B33992EBF2DD794B38BCCF1B2B5FA52064BB2C | ETSI EN 319 411-2 V2.4.1, QCP-n, QCP-l           |
| NETLOCK Trust Qualified QSCD ECC CA      | CAD759BAF84AB3079377C32AF8353D08C3C599E76F5ADEA203E45C42047D6C66 | ETSI EN 319 411-2 V2.4.1, QCP-n-qscd, QCP-l-qscd |
| NETLOCK Trust Qualified RQSCD VRA ECC CA | 905C5EFA99F8984D03F8C1345DD976AB097FC8CA51C6CA12D1B2780D8128174F | ETSI EN 319 411-2 V2.4.1, QCP-n-qscd, QCP-l-qscd |
| NETLOCK Trust Advanced ECC CA            | 958289D7DFAD053866B6891CB6AD197739203DB8EF043C9D4E2C6767ED5D7D44 | ETSI EN 319 411-1 V1.3.1, LCP                    |
| NETLOCK Trust Advanced Plus ECC CA       | E26BD57346D8873CF5FF88E0D4DD0037CB5F27DFE67150B70D4D1EEA9741423D | ETSI EN 319 411-1 V1.3.1, LCP                    |
| NETLOCK Trust ECC CA                     | 6C8AD28655A95D47E9E26EDEC96FD866A053010115FC25095E75FAE6A71D8520 | ETSI EN 319 411-1 V1.3.1, LCP, NCP, NCP+         |

**Table 6: Sub-CA's issued by the Root-CA 3 or its Sub-CA's in scope of the audit**

Root 4: NETLOCK TLS Root CA 2025

|                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Standards considered: | <p>European Standards:</p> <ul style="list-style-type: none"><li>• ETSI EN 319 411-2 V2.5.1 (2023-10)</li><li>• ETSI EN 319 411-1 V1.4.1 (2023-10)</li><li>• ETSI EN 319 401 V3.1.1 (2024-06)</li></ul> <p>CA Browser Forum Requirements:</p> <ul style="list-style-type: none"><li>• Baseline Requirements for the Issuance and Management of Publicly-Trusted Certificates, version 2.1.5</li><li>• Network and Certificate System Security Requirements, version 2.0.5</li></ul> <p>Browser Policy Requirements:</p> <ul style="list-style-type: none"><li>• Chrome Root Program Policy, Version 1.7 (2025-07-15)</li><li>• Mozilla Root Store Policy, Version 3.0 (2025-03-15)</li><li>• Microsoft Trusted Root Certificate Program (2025-02-25)</li><li>• Apple Root Certificate Program (2023-08-15)</li></ul> <p>For the Trust Service Provider Conformity Assessment:</p> <ul style="list-style-type: none"><li>• ETSI EN 319 403-1 V2.3.1 (2020-06)</li><li>• ETSI TS 119 403-2 V1.3.1 (2023-03)</li></ul> |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

The audit was based on the following policy and practice statement documents of the CA / TSP:

- Service Policy for Non-Qualified Certification Services, version 250409, as of 2025-04-09
- Service Practice Statement for Non-Qualified Certification Services, version 250517, as of 2025-05-17
- Service Policy for Qualified Certification Services, version 250409, as of 2025-04-09
- Service Practice Statement for Qualified Certificate Services, version 250517, as of 25-05-17

In the following areas, non-conformities have been identified throughout the audit:

Findings with regard to ETSI EN 319 401:

7.2 Human resources

This should include regular (at least every 12 months) updates on new threats and current security practices. [REQ-7.2-05X]

7.9.2 Incident response

The TSP shall establish and maintain effective communication plans that include incident categorisation, well-defined escalation procedures, and standardised reporting protocols. [REQ-7.9.2-04X]

Findings with regard to ETSI EN 319 411-1:

None.

Findings with regard to ETSI EN 319 411-2:



None.

For all non-conformities, remediation has been scheduled within three months after the onsite audit at latest and will be covered by a corresponding audit.

This Audit Attestation also covers the following incidents as described in the following.

- Bug 1947691, NETLOCK: Bug 1891331 replacement - delayed revocation –  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1947691](https://bugzilla.mozilla.org/show_bug.cgi?id=1947691)
- Bug 1962426, NETLOCK: CA/Browser Forum TLS BR Non-compliance:  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1962426](https://bugzilla.mozilla.org/show_bug.cgi?id=1962426)
- Bug 1938167, NETLOCK: CRL not published in DER Encoded Format:  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1938167](https://bugzilla.mozilla.org/show_bug.cgi?id=1938167)
- Bug 1905509, NETLOCK: CPR was not responded to in 24 hours:  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1905509](https://bugzilla.mozilla.org/show_bug.cgi?id=1905509)
- Bug 1957474, Netlock: Failure to Provide Weekly Updates:  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1957474](https://bugzilla.mozilla.org/show_bug.cgi?id=1957474)
- Bug 1904041, NETLOCK: Intermediate CA Certificate not disclosed to CCADB  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1904041](https://bugzilla.mozilla.org/show_bug.cgi?id=1904041)
- Bug 1917046, NETLOCK: Findings in 2024 Audit  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1917046](https://bugzilla.mozilla.org/show_bug.cgi?id=1917046)

The remediation measures taken by NETLOCK Ltd. as described on Bugzilla (see link above) have been checked by the auditors and Select appropriate addressed the incident.

| Distinguished Name                                                                  | SHA-256 fingerprint                                                                                             | Applied policy                                                                                                                                                                                   |
|-------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Complete subject DN:<br><br>CN = NETLOCK TLS Root CA 2025, O = NETLOCK Kft., C = HU | SHA-256 fingerprint of the certificate:<br><br>2CAB8E1E521323BADBC7E427F3DF91BEB17D42B5BD831EA1C428CA4FA49DA570 | ETSI EN policy that this Root has been assessed against:<br><br>ETSI EN 319 411-1 V1.3.1, ETSI EN 319 411-2 V2.4.1, QCP-n, QCP-l, QCP-n-qscd, QCP-l-qscd, OVCP, EVCP, QEVCP LCP, NCP, NCP+, DVCP |

**Table 7: Root-CA 4 in scope of the audit**

The TSP named the Sub-CAs that have been issued by the aforementioned Root-CA, that are listed in the following table and that have been covered in this audit.

| Distinguished Name | SHA-256 fingerprint | Applied policy |
|--------------------|---------------------|----------------|
|                    |                     |                |
|                    |                     |                |
|                    |                     |                |

**Table 8: Sub-CA's issued by the Root-CA 4 or its Sub-CA's in scope of the audit**

Root 5: NETLOCK SMIME Root CA 2025

|                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|-----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Standards considered: | <p>European Standards:</p> <ul style="list-style-type: none"><li>ETSI EN 319 411-2 V2.5.1 (2023-10)</li><li>ETSI EN 319 411-1 V1.4.1 (2023-10)</li><li>ETSI EN 319 401 V3.1.1 (2024-06)</li></ul> <p>CA Browser Forum Requirements:</p> <ul style="list-style-type: none"><li>Baseline Requirements for the Issuance and Management of Publicly-Trusted Certificates, version 2.1.5</li><li>Network and Certificate System Security Requirements, version 2.0.5</li></ul> <p>Browser Policy Requirements:</p> <ul style="list-style-type: none"><li>Chrome Root Program Policy, Version 1.7 (2025-07-15)</li><li>Mozilla Root Store Policy, Version 3.0 (2025-03-15)</li><li>Microsoft Trusted Root Certificate Program (2025-02-25)</li><li>Apple Root Certificate Program (2023-08-15)</li></ul> <p>For the Trust Service Provider Conformity Assessment:</p> <ul style="list-style-type: none"><li>ETSI EN 319 403-1 V2.3.1 (2020-06)</li><li>ETSI TS 119 403-2 V1.3.1 (2023-03)</li></ul> |
|-----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

The audit was based on the following policy and practice statement documents of the CA / TSP:

- Service Policy for Non-Qualified Certification Services, version 250409, as of 2025-04-09
- Service Practice Statement for Non-Qualified Certification Services, version 250517, as of 2025-05-17
- Service Policy for Qualified Certification Services, version 250409, as of 2025-04-09
- Service Practice Statement for Qualified Certificate Services, version 250517, as of 25-05-17

In the following areas, non-conformities have been identified throughout the audit:

Findings with regard to ETSI EN 319 401:

7.2 Human resources

This should include regular (at least every 12 months) updates on new threats and current security practices. [REQ-7.2-05X]

7.9.2 Incident response

The TSP shall establish and maintain effective communication plans that include incident categorisation, well-defined escalation procedures, and standardised reporting protocols. [REQ-7.9.2-04X]

Findings with regard to ETSI EN 319 411-1:

None.

Findings with regard to ETSI EN 319 411-2:

None.

For all non-conformities, remediation has been scheduled within three months after the onsite audit at latest and will be covered by a corresponding audit.

This Audit Attestation also covers the following incidents as described in the following.

- Bug 1947691, NETLOCK: Bug 1891331 replacement - delayed revocation –  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1947691](https://bugzilla.mozilla.org/show_bug.cgi?id=1947691)
- Bug 1962426, NETLOCK: CA/Browser Forum TLS BR Non-compliance:  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1962426](https://bugzilla.mozilla.org/show_bug.cgi?id=1962426)
- Bug 1938167, NETLOCK: CRL not published in DER Encoded Format:  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1938167](https://bugzilla.mozilla.org/show_bug.cgi?id=1938167)
- Bug 1905509, NETLOCK: CPR was not responded to in 24 hours:  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1905509](https://bugzilla.mozilla.org/show_bug.cgi?id=1905509)
- Bug 1957474, Netlock: Failure to Provide Weekly Updates:  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1957474](https://bugzilla.mozilla.org/show_bug.cgi?id=1957474)
- Bug 1904041, NETLOCK: Intermediate CA Certificate not disclosed to CCADB  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1904041](https://bugzilla.mozilla.org/show_bug.cgi?id=1904041)
- Bug 1917046, NETLOCK: Findings in 2024 Audit  
[https://bugzilla.mozilla.org/show\\_bug.cgi?id=1917046](https://bugzilla.mozilla.org/show_bug.cgi?id=1917046)

The remediation measures taken by NETLOCK Ltd. as described on Bugzilla (see link above) have been checked by the auditors and Select appropriate addressed the incident.

| Distinguished Name                                                                       | SHA-256 fingerprint                                                                                             | Applied policy                                                                                                                           |
|------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|
| Complete subject DN:<br><br>CN = NETLOCK SMIME Root CA 2025,<br>O = NETLOCK Kft., C = HU | SHA-256 fingerprint of the certificate:<br><br>1BF7F3DF0BA1510D2281992C7D7D95E8862E371AE2BC2C751B0A8D733DF1EF67 | ETSI EN policy that this Root has been assessed against:<br><br>ETSI TS 119 411-6 V1.1.1<br>LCP, QCP-n-qscd, Sponsor-validated - Strict, |

**Table 9: Root-CA 5 in scope of the audit**

The TSP named the Sub-CAs that have been issued by the aforementioned Root-CA, that are listed in the following table and that have been covered in this audit.

| Distinguished Name | SHA-256 fingerprint | Applied policy |
|--------------------|---------------------|----------------|
|                    |                     |                |
|                    |                     |                |

**Table 10: Sub-CA's issued by the Root-CA 5 or its Sub-CA's in scope of the audit**

**Key pairs without a corresponding certificate (“parked keys”)**

|                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Standards considered: | <p>European Standards:</p> <ul style="list-style-type: none"><li>• ETSI EN 319 411-2 V2.5.1 (2023-10)</li><li>• ETSI EN 319 411-1 V1.4.1 (2023-10)</li><li>• ETSI EN 319 401 V3.1.1 (2024-06)</li></ul> <p>CA Browser Forum Requirements:</p> <ul style="list-style-type: none"><li>• Baseline Requirements for the Issuance and Management of Publicly-Trusted Certificates, version 2.1.5</li><li>• Network and Certificate System Security Requirements, version 2.0.5</li></ul> <p>Browser Policy Requirements:</p> <ul style="list-style-type: none"><li>• Chrome Root Program Policy, Version 1.7 (2025-07-15)</li><li>• Mozilla Root Store Policy, Version 3.0 (2025-03-15)</li><li>• Microsoft Trusted Root Certificate Program (2025-02-25)</li><li>• Apple Root Certificate Program (2023-08-15)</li></ul> <p>For the Trust Service Provider Conformity Assessment:</p> <ul style="list-style-type: none"><li>• ETSI EN 319 403-1 V2.3.1 (2020-06)</li><li>• ETSI TS 119 403-2 V1.3.1 (2023-03)</li></ul> |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

The audit was based on the following policy and practice statement documents of the CA / TSP:

- Service Policy for Non-Qualified Certification Services, version 250409, as of 2025-04-09
- Service Practice Statement for Non-Qualified Certification Services, version 250517, as of 2025-05-17
- Service Policy for Qualified Certification Services, version 250409, as of 2025-04-09
- Service Practice Statement for Qualified Certificate Services, version 250517, as of 25-05-17

This report covers the private keys referenced in the following table(s). For these keys, no certificates have been generated yet.

| Key # | Subject Public Key Info Field Hash (SHA-256) |
|-------|----------------------------------------------|
| 1     |                                              |
| 2     |                                              |
| 3     |                                              |
| 4     |                                              |

**Table 11: Key pairs without a corresponding certificate (“parked keys”)**

## Modifications record

| Version     | Issuing Date | Changes                            |
|-------------|--------------|------------------------------------|
| Version 1   | 2025-07-17   | Initial attestation                |
| Version 1.1 | 2025-07-23   | SHA-256 fingerprint format updates |
| Version 1.2 | 2025-07-30   | Audit period update                |

**End of the audit attestation letter.**